



# Legal Entity Customer Due Diligence Certification Form

## Certification of Beneficial Owner(s)

Persons opening an account on behalf of a legal entity must provide the following information:

- Name and Title of Natural Person Opening Account (*please provide on Account Information form*)
- Name and Address of Legal Entity for Which the Account is Being Opened (*please provide on Account Information form*)
- The following information (*enter below*) for each individual, if any, who, directly or indirectly, through any contract, arrangement, understanding, relationship or otherwise, owns 25% or more of the equity interests of the legal entity listed above. If no individual meets this definition, please write "Not Applicable."

Name and Title	Date of Birth	Address (Residential or Business Street Address)	For US. Persons: Social Security Number	For Foreign Persons: Passport Number and Country of Issuance, or other similar ID number

- The following information (*enter below*) for one individual with significant responsibility for managing the legal entity listed above, such as (1) an executive officer or senior manager (e.g., Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, Treasurer); or (2) any other individual who regularly performs similar functions. If appropriate, an individual listed under the section above may also be listed in this section.

Name and Title	Date of Birth	Address (Residential or Business Street Address)	For US. Persons: Social Security Number	For Foreign Persons: Passport Number and Country of Issuance, or other similar ID number

I, \_\_\_\_\_ (name of natural person opening account), hereby certify, to the best of my knowledge, that the information provided above is complete and correct.

*Note: In lieu of a passport number, foreign persons may also provide an alien identification card number, or number and country of issuance of any other government-issued document evidencing nationality or residence and bearing a photograph or similar safeguard.*

### Acknowledgement

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Legal Entity Identifier (Optional)