

Account Number

Alternative Investment Transaction Checklist

This checklist outlines the steps and documentation required to purchase an alternative investment after the asset in question has been operationally accepted for custody.

Type on screen or print out and fill in using CAPITAL letters and black ink. If you need more room for information, use a copy of the relevant page.

Once the required investment paperwork is received, Fidelity will wire out funds to the Fund Sponsor instructions on file. The transaction and position will be reflected on the client brokerage statement.

Required.

Alternative Investment Name

Subscription Agreement Submission

A form of Subscription Agreement is required for all alternative investments along with a completed/signed Request for Transaction Letter of Instruction (LOI).

The Subscription Agreement should have, but is not limited to, the following information:

- Amount to be invested *If an NAV purchase, this should be indicated.*
- Account Owner's information *Name, Social Security number, address*
- Account Number
- Ownership/Account Registration *IRA, Individual, etc.*
- Distribution option *Cash or Reinvestment*
- Account Owner's signature
- Registered Representative or Authorized agent/Advisor information and signature

Alternative Investment Investor Addendum and Custody Agreement

Is an AI Investor Addendum and Custody Agreement already on file?

Check one. Yes No

If NO, you must submit an AI Addendum and Custody Agreement at this time to facilitate the transaction.

Alternative Investment Request for Transaction Letter of Instruction (LOI)

Have you included a Request for Transaction LOI with your submission?

Check one. Yes No

An LOI is required for alternative investment transactions to be processed in a client account.

All information required by National Financial Services LLC and Fidelity Brokerage Services LLC (collectively "Fidelity") will be used solely for internal, operational purposes. Fidelity shall not undertake to review or assume responsibility for conducting any due diligence on or determining the suitability or appropriateness of any alternative investment for any account.

Once completed, submit this checklist along with the required investment paperwork to National Financial Services LLC:

Alternative Investments Department
Attention: AI Trading
National Financial Services, LLC
499 Washington Blvd.
Jersey City, NJ 07310

Clearing, custody and other brokerage services may be provided by National Financial Services LLC or Fidelity Brokerage Services LLC, Member NYSE, SIPC.

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