AMERICAN Investors Company

ORDER INSTRUCTIONS

MUST BE ACCOMPANIED BY ACCOUNT INFORMATION

Date:

☐ **New Account** ☐ **Existing Account** ______________

▶ACCOUNT REGISTRATION:

☐ Individual ☐ JTWROS ☐ UGMA/UTMA ☐ Trust ☐ 403(b)/TSA ☐ Community Property
☐ 529 Plan ☐ MPP/PSP ☐ SEP IRA ☐ Roth IRA ☐ IRA/Rollover IRA ☐ Other ______________

Account Title ______________________________ **SSN/Tax ID** ______________________

▶TRANSACTION TYPE:

☐ Mutual Fund ☐ 1031 Exchange ☐ Variable Annuity ☐ Variable Life
☐ Asset Management (3rd Party) ☐ Investment Advisory ☐ DPP ☐ Other ______________

Is signed ***Explanation of Your Investment*** form attached? ☐ Yes ☐ No If no, provide explanation ______________________
(Required for new DPP, mutual fund/529 and variable life purchases. For mutual fund/529 add-on investments, form on file must be less than 3 years old.)

▶SOURCE OF FUNDS:

☐ Liquidation/Transfer of existing investments *(Client must complete and sign **Letter of Acknowledgment** form)*
☐ Existing cash or cash equivalents ☐ Other *(please specify)* ______________________

▶INVESTMENT PURPOSE:

☐ Generate income ☐ Save for retirement ☐ Save for education ☐ Save for short term goal(s)
☐ Accumulate wealth ☐ Preserve wealth ☐ Market speculation ☐ Other

▶TRANSACTION(S): ☐ Unsolicited transaction(s) / client-directed add-on investment(s)

☐ **BUY** ☐ **SELL** ☐ **EXCH**	______ Amount	______ Product Description	☐ **A** ☐ **B** ☐ **C** Share Class (if applicable)	☐ Other ____
☐ **BUY** ☐ **SELL** ☐ **EXCH**	______ Amount	______ Product Description	☐ **A** ☐ **B** ☐ **C** Share Class (if applicable)	☐ Other ____
☐ **BUY** ☐ **SELL** ☐ **EXCH**	______ Amount	______ Product Description	☐ **A** ☐ **B** ☐ **C** Share Class (if applicable)	☐ Other ____
☐ **BUY** ☐ **SELL** ☐ **EXCH**	______ Amount	______ Product Description	☐ **A** ☐ **B** ☐ **C** Share Class (if applicable)	☐ Other ____
☐ **BUY** ☐ **SELL** ☐ **EXCH**	______ Amount	______ Product Description	☐ **A** ☐ **B** ☐ **C** Share Class (if applicable)	☐ Other ____

▶COMMENTS: ____

REQUIRED for Mutual Fund/529 Purchases:

INVESTMENT TIME HORIZON ☐ Near-Term (0-2 years) ☐ Short (2-5 years) ☐ Intermediate (6-10) ☐ Long (over 10 years)
(Anticipated holding period for investment(s) specified above)

Does the client own any other shares of the fund being purchased and/or any other fund(s) within the same fund family? ☐ Yes ☐ No

Are there any related accounts (i.e., spouse, etc.) held with this fund family? ☐ Yes ☐ No

Total value of all client/related accounts invested in the fund family? (if not applicable, please indicate): $ ______

For Class A shares, list the client's breakpoint level (i.e., $50K, $100K, etc.) including current purchase, (if not applicable, please indicate): $ ______

Has the client entered into a Letter of Intent? ☐ Yes ☐ No If "yes", indicate amount of Letter of Intent (LOI): $ ______

Is this a re-purchase of an investment that was sold in the same fund family within the past year? ☐ Yes ☐ No If yes, date sold: ______

For Class C shares, is total value of client/related accounts in fund family >$250K (including current purchase) and is stated Time Horizon on Account Information intermediate or long term? ☐ Yes ☐ No *If yes, please attach client's acknowledgment of share class expense analysis*

REQUIRED for DPP Purchases:

What percent of net worth (exclusive of home, auto & furnishings) is invested in DPPs or illiquid investments **EXCLUDING** current investment? ______%

What percent of net worth (exclusive of home, auto & furnishings) is invested in DPPs or illiquid investments **INCLUDING** current investment? ______%

Comments regarding DPP purchase *(optional)* ______________________

I have attached: ☐ New Account Information ☐ Copy of previously submitted Account Information *(if less than 3 years old)* ☐ Updated Account Information

RR Name:	**RR #**	**RR Signature:**	**Date**
Principal Approval:	**Date**	For Internal Use Only	

(Rev 05/16)