

AMERICAN INVESTORS COMPANY
Request for approval to engage in outside business activities

Requestor's name: _____

Date: _____

I hereby notify American Investors Company of my desire to engage in the outside business activity set forth below. I understand that I cannot engage in such activity unless and until I receive an approved copy of this request from American Investors Company.

Details associated with the proposed outside business activity

Please provide sufficient detail on the proposed activity to allow AIC to make an affirmative determination as to whether or not this proposed activity poses any conflict with your duties and responsibilities as a registered representative.

Describe the precise nature of your proposed activity:

How will you be compensated? Commission Salary Fixed fee Hourly rate
 Referral fee Other (describe) _____

Does this activity require you to be licensed? Yes No

If yes, specify license required: _____

Additional information needed for Form U4 “Other Business” section update

Name of the other business? _____

Is the business investment-related? Yes No

Address of the other business? _____

Nature of the other business? _____

Your position, title, or relationship with the other business? _____

Start date of your relationship? _____

Approximate number of hours/month you will devote to the other business? _____

Number of hours you will devote to the other business during securities trading hours? _____

Briefly describe your duties relating to the other business: _____

Please fax completed form to 925-866-8989.

AIC review and approval

Activity approved? Yes No

Reviewer's signature _____

Date _____

Explanation, if activity not approved: _____

