

Account Number

## Alternative Investment

# Request for Transaction Letter of Instruction (LOI)

Use this form to request all types of Alternative Investment transactions except capital call requests. Type on screen or fill in using CAPITAL letters and black ink. If you need more room for information or signatures, use a copy of the relevant page.

### Helpful to Know

Be advised that National Financial Services LLC ("NFS") is submitting the alternative investment purchase or redemption on your behalf. In the event NFS is unable to confirm the transaction with the issuer, NFS may take action with respect to your account including removal of the alternative investment position from your account. Further, NFS shall present a redemption request to the issuer on your behalf but NFS is not responsible in the event the issuer does not remit the proceeds to NFS.

- A signed Fund Subscription Agreement must accompany this request, if applicable.
- You must sign this form and return it to your investment representative.
- The Alternative Investments Addendum and Custody Agreement ("Addendum") must be completed prior to the initiation of an alternative investment transaction and the account must be funded or have cash available for purchase in the account before an alternative investment transaction will be processed.

## Transaction Information

Account Owner Name(s)

### Purchase Information

Complete the information for purchases or sales. If this request involves an exchange, complete both Purchase and Sell/Redeem Information sections.

Asset Name	Number of Shares/Units <i>If known</i>
Share Class	CUSIP
Price <i>If known</i>	Amount
\$	\$

### Sell/Redemption Information

Asset Name	Number of Shares/Units <i>If known</i>
Share Class	CUSIP
Price <i>If known</i>	Amount
\$	\$



# Signatures and Dates *Form cannot be processed without signatures and dates.*

By signing below, you:

- Authorize your Broker-Dealer and National Financial Services LLC ("NFS"), as agent for Fidelity Management Trust Company ("FMTC"), if applicable, to submit the transaction as instructed on this form to the issuer and to take such other actions as may be necessary to complete such transaction, including delivery and receipt of funds to or from your account and the issuer as may be required.
- Acknowledge that margin cannot be used to purchase Alternative Investments. However, if you have a margin agreement with NFS, non-margin eligible buying power may be applied to an Alternative Investment transaction, or may be used to pay applicable taxes associated with an Alternative Investment, if your Account has insufficient available cash.
- Understand and agree that NFS is not responsible for any change in market value that occurs prior to the processing of an instruction, including, but not limited to, during the time between document submission to NFS and document acceptance by the issuer or sponsor, as applicable.
- Represent that, before submitting this request to NFS, you have received and reviewed the terms of the private placement memorandum, term sheet or other disclosure documents and agreements affecting or pertaining to the Alternative Investments.
- Acknowledge that you have responsibility for the investment and take full responsibility for determining the appropriateness and suitability of the Alternative Investments.
- Indemnify and hold harmless your Broker-Dealer, NFS, FMTC, their officers, directors, employees, agents, affiliates, shareholders, successors, assigns and representatives from any claims or losses that may occur as a result of this transaction.
- Understand that this request and any resulting transactions are subject to the terms and conditions of the Addendum.

Print Account Owner Name <i>First, M.I., Last</i>	
Account Owner Signature	Date <i>MM - DD - YYYY</i>
<b>SIGN</b> X	X

Print Additional Account Owner Name <i>First, M.I., Last</i>	
Additional Account Owner Signature	Date <i>MM - DD - YYYY</i>
<b>SIGN</b> X	X

## Employer Signature *ONLY for redemption or sell transactions in Premiere Select® Retirement Plan Accounts*

If the above-referenced account ("Account") is a Premiere Select Retirement Plan, then the Employer administering the Premiere Select Retirement Plan must also sign this form. By signing below, you:

- Acknowledge that you have read, understood, and agree to be bound by the terms and conditions in the Addendum.
- Acknowledge that you assume full responsibility for reviewing the terms of all offering and disclosure documents for the Alternative Investments, and you ensure that:
  - 1) All securities held in the Account are in accordance with the terms and conditions of the Premiere Select Retirement Plan and Trust governing the Account.
  - 2) You understand there may be certain fees associated with the requested transaction(s).
  - 3) Holding the Alternative Investments and the related transaction requests are in compliance with applicable laws, rules and regulations, including the Employee Retirement Income Security Act ("ERISA") and/or the Internal Revenue Code ("IRC") and/or related regulations, interpretations, and guidance.
  - 4) You understand your obligation to operate the plan in accordance with Premiere Select Retirement Plan documents, including the plan requirement to apply minimum distributions requirements, notwithstanding the unique liquidity and valuation challenges applicable to Alternative Investments.

Print Employer Name <i>First, M.I., Last</i>	
Employer Signature	Date <i>MM - DD - YYYY</i>
<b>SIGN</b> X	X

Broker-Dealers should submit this form, along with the the completed Fund investment documents, through Wealthscape<sup>SM</sup>, using the Alternative Investment Order Ticket.