

Account Document Household Request

Use this form to create new Household Relationships, add to an existing Household Relationship or to modify an existing Household Relationship on your accounts held with your Broker/Dealer through National Financial Services LLC ("NFS"). Account Document householding is a service option your Broker/Dealer may choose to offer. Consult with your Broker/Dealer for householding options available to you. Type on screen or fill in using CAPITAL letters and black ink. If you need more room for information or signatures, use a copy of the relevant page.

Helpful to Know

- Account owners who share the same exact mailing address, but do not meet the criteria for automatic householding, may request to create a statement household.
- For account statements, the consolidated envelope will contain a statement for each account in the Household Relationship as well as a Consolidated Summary of Accounts Page. The Consolidated Summary of Accounts will display the name and address of the Primary Account ("PA"), which is the account used to enforce identical addresses for all accounts in the Household Relationship.
- One account in the Household Relationship can be designated as the PA. For automatic households (system created), a PA will be selected by the system. You can also designate or change one below.
- As future accounts are opened, a new Account Document Household Request form must be completed to include the accounts in an existing household or to create a new household.
- If any account owner/trustee changes an address on any account in the Household Relationship, such that it is different from the mailing address (designated by the Primary Account in the Household Relationship), then the account will not be included in the consolidated envelope, nor will it be listed in the Consolidated Summary of Accounts Page.
- Account documents included in the household include monthly account statements, eligible daily and quarterly trade confirmations, revised account profiles and other eligible account documents as NFS makes them available.

Important

- Accounts with multiple owners are eligible for householding only if all owners sign this form. All owners of all accounts in a household will be able to view the householded statement that contains all accounts. That is, if a trust has two trustees, 1 and 2, and trustee 1's individual account is householded with the trust account, trustee 2 would be able to view the individual account on the householded statement.

1. Create a New Household

List the account number of the account you wish to designate as the PA.

Primary Account Number

Provide the rest of the account numbers for all accounts to be included in the new Household.

Account Number

Account Number

Account Number

Account Number

Account Number

Account Number

2. Update an Account Document Household

To update an existing household, provide an account number from within that household.

Account Number

Check one and provide the account number(s) that are affected.

Add additional account to existing household relationship

Account Number

Exclude an account

Account Number

Reassign the Primary Account

Account Number

Remove exclusion and reinstate account

Account Number

Remove an account from the household

Account Number

Dissolve an existing household

Account Number



3. Signatures and Dates *Form cannot be processed without signatures and dates.*

By signing this form, you:

- Authorize NFS and your Broker/Dealer to consolidate the account documents by account type and mail the combined mailing for accounts listed on this form in a single envelope. The combined documents' combined mailing will be mailed to the mailing address indicated above.
- Understand and agree that the combined documents' combined mailing will be viewable on WealthscapeSM by any account owner/trustee with access to the website.
- Indemnify and hold harmless your Broker/ Dealer, NFS, their officers, directors, employees, agents, affiliates, shareholders, successors, assigns, and representatives from any claims or losses that may occur in the event that you fail to meet any requirements concerning your brokerage account.

All account owners/authorized individuals must print name, sign, and date.

Print Account Owner Name <i>First, M.I., Last</i>	Print Account Owner Name <i>First, M.I., Last</i>
Account Owner Signature	Account Owner Signature
Date <i>MM - DD - YYYY</i>	Date <i>MM - DD - YYYY</i>
SIGN ▶	SIGN ▶
Print Account Owner Name <i>First, M.I., Last</i>	Print Account Owner Name <i>First, M.I., Last</i>
Account Owner Signature	Account Owner Signature
Date <i>MM - DD - YYYY</i>	Date <i>MM - DD - YYYY</i>
SIGN ▶	SIGN ▶
Print Account Owner Name <i>First, M.I., Last</i>	Print Account Owner Name <i>First, M.I., Last</i>
Account Owner Signature	Account Owner Signature
Date <i>MM - DD - YYYY</i>	Date <i>MM - DD - YYYY</i>
SIGN ▶	SIGN ▶
Print Account Owner Name <i>First, M.I., Last</i>	Print Account Owner Name <i>First, M.I., Last</i>
Account Owner Signature	Account Owner Signature
Date <i>MM - DD - YYYY</i>	Date <i>MM - DD - YYYY</i>
SIGN ▶	SIGN ▶