

Request for approval to be named as beneficiary or be appointed as executor, trustee or POA

Representative Name: _____

This request relates to approval for (check all that apply):

Beneficiary _____

Executor _____

Trustee _____

Power of Attorney _____

This request relates to the following specific client or client-controlled entities listed below:

Relationship to client (describe nature and duration of relationship):

Affected client account(s), list carrying firm(s) and account number(s) where applicable:

Rationale for client appointing you as executor, trustee or POA:

Reviewed by: _____

Date: _____

Approval granted _____ Approval denied _____

Reviewer comments:

